



Policy Title:	<b>Exam Contingency Plan</b>
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### Change Record

Version	Date	Description of Changes
1.0	09.05.2023	Policy reviewed and version control added
1.1	21.12.2023	Policy reviewed, nomenclature update, Emergency Evacuation procedure added as appendix

**“Settle College promotes the safeguarding and welfare of children in its care; all policies support the “Child Protection Policy”**

# EXAM CONTINGENCY PLAN 2023

## Key staff involved in contingency planning

<b>Role</b>	<b>Name(s)</b>
Head of centre	Mr Gareth Whitaker
Exams officer line manager (Senior Leader)	Miss Jenny Nutter
Exams officer	Mrs S. Kilbourne
SENCo	Mrs Rachel Grimshaw

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## Purpose of the plan

This plan examines potential risks and issues that could cause disruption to the exams process at Settle College. By outlining actions/procedures to be invoked in case of disruption, it is intended to mitigate the impact these disruptions have on our exam process.

Alongside internal processes, this plan is informed by the **Ofqual Exam system contingency plan: England, Wales and Northern Ireland** which provides guidance in the publication *What schools and colleges and other centre's should do if exams or other assessments are seriously disrupted* and the **JCQ Joint Contingency Plan in the event of widespread disruption to the Examination System in England, Wales and Northern Ireland**.

This plan also confirms that Settle College is compliant with the JCQ regulation (*General Regulations for Approved Centres 2022-23*) that the centre *has in place a written examination contingency plan which covers all aspects of examination administration. This will allow members of the senior leadership team to act immediately in the event of an emergency or staff absence.*

## Possible causes of disruption to the exam process

### 1. Exam officer extended absence at key points in the exam process (cycle)

*Criteria for implementation of the plan*

Key tasks required in the management and administration of the exam cycle not undertaken including:

#### Planning

- annual data collection exercise not undertaken to collate information on qualifications and awarding body specifications being delivered
- annual exams plan not produced identifying essential key tasks, key dates and deadlines
- sufficient invigilators not recruited

#### Entries

- awarding bodies not being informed of early/estimated entries which prompts release of early information required by teaching staff
- candidates not being entered with awarding bodies for external exams/assessment
- awarding body entry deadlines missed or late or other penalty fees being incurred

#### Pre-exams

- invigilators not trained or updated on changes to instructions for conducting exams
- exam timetabling, rooming allocation; and invigilation schedules not prepared

- candidates not briefed on exam timetables and awarding body information for candidates
- confidential exam/assessment materials and candidates' work not stored under required secure conditions
- internal assessment marks and samples of candidates' work not submitted to awarding bodies/external moderators

#### Exam time

- exams/assessments not taken under the conditions prescribed by awarding bodies
- required reports/requests not submitted to awarding bodies during exam/assessment periods, for example very late arrival, suspected malpractice, special consideration
- candidates' scripts not dispatched as required for marking to awarding bodies Results and post-results
- access to examination results affecting the distribution of results to candidates
- the facilitation of the post-results services

#### *Centre actions to mitigate the impact of the disruption*

- In the event of the examination officer being absent, the hope is that communication can still be made with college and for a member of staff (STU) to act as the exams office whilst being supported by SLT (JNU/GWH) and an experienced member of the invigilators.

## **2. SENCo extended absence at key points in the exam cycle**

#### *Criteria for implementation of the plan*

Key tasks required in the management and administration of the access arrangements process within the exam cycle not undertaken including:

#### Planning

- candidates not tested/assessed to identify potential access arrangement requirements
- centre fails to recognise its duties towards disabled candidates as defined under the terms of the Equality Act 2010
- evidence of need and evidence to support normal way of working not collated

#### Pre-exams

- approval for access arrangements not applied for to the awarding body
- centre-delegated arrangements not put in place
- modified paper requirements not identified in a timely manner to enable ordering to meet external deadline
- staff (facilitators) providing support to access arrangement candidates not allocated and trained

#### Exam time

- access arrangement candidate support not arranged for exam rooms

*Centre actions to mitigate the impact of the disruption*

- The absence of the SENCO would be covered by EHO/SBU/SBO and SLT link JNU. Support would also be sought from a local secondary school.

### **3. Teaching staff extended absence at key points in the exam cycle**

*Criteria for implementation of the plan*

Key tasks not undertaken including:

- Early/estimated entry information not provided to the exams officer on time; resulting in pre-release information not being received
- Final entry information not provided to the exams officer on time; resulting in candidates not being entered for exams/assessments or being entered late/late or other penalty fees being charged by awarding bodies
- Non-examination assessment tasks not set/issued/taken by candidates as scheduled
- Candidates not being informed of centre assessed marks before marks are submitted to the awarding body and therefore not being able to consider appealing internal assessment decisions and requesting a review of the centre's marking
- Internal assessment marks and candidates' work not provided to meet awarding body submission deadlines

*Centre actions to mitigate the impact of the disruption*

- The extended absence of any teacher would be monitored by the subject leader or SLT line manager.

### **4. Invigilators - lack of appropriately trained invigilators or invigilator absence**

*Criteria for implementation of the plan*

- Failure to recruit and train sufficient invigilators to conduct exams
- Invigilator shortage on peak exam days
- Invigilator absence on the day of an exam

*Centre actions to mitigate the impact of the disruption*

- Settle College has a list of approximately 10 pre-approved and trained invigilators. These would be used if invigilator absent. If no external invigilators available, SLT & other staff would cover. SLT to be trained as are a number of other members of staff including TAs, admin and technicians.

## **5. Exam rooms - lack of appropriate rooms or main venues unavailable at short notice**

### *Criteria for implementation of the plan*

- Exams officer unable to identify sufficient/appropriate rooms during exams timetable planning
- Insufficient rooms available on peak exam days
- Main exam venues unavailable due to an unexpected incident at exam time

### *Centre actions to mitigate the impact of the disruption*

- Exams would be moved to classrooms or Giggleswick school as necessary. In the event of sudden unexpected unavailability, resulting in classrooms having to be used, this might result in school closure to other year groups.

## **6. Failure of IT systems/Cyber Attack**

### IT Systems

#### *Criteria for implementation of the plan*

- MIS system failure at final entry deadline
- MIS system failure during exams preparation
- MIS system failure at results release time

#### *Centre actions to mitigate the impact of the disruption*

- Liaise with network support at ICT Schools and Arbor
- Contact exam boards for advice.

### Cyber-Attack

#### *Criteria for implementation of the plan*

Where a cyber-attack may compromise any aspect of delivery.

- The EM will work with the SLT and the Network manager, to make immediate contact with the Awarding Bodies to seek further guidance and support.
- The SLT will work with the EM to take as action as determined by the relevant awarding bodies.

#### *Centre actions to mitigate the impact of the disruption*

- Back ups are taken daily of the MIS and the data is stored offline
- The systems for restoring the data are reliable and robust
- The school will make contact with the police and Action Fraud
- The school will make contact with the National Cyber Security Centre (NCSC)
- The school will inform the Department for Education

## **7. Emergency evacuation of the exam room (or centre lock down)**

### *Criteria for implementation of the plan*

Whole centre evacuation (or lock down) during exam time due to serious incident resulting in exam candidates being unable to start, proceed with or complete their exams

*Centre actions to mitigate the impact of the disruption*

- Contact the relevant awarding organisation and follow its instructions.
- Take advice, or follow instructions, from relevant local or national agencies in deciding whether the school is able to open.
- Identify whether the exam or timetabled assessment can be sat at an alternative venue, in agreement with the relevant awarding organisation, ensuring the secure transportation of questions papers or assessment materials to the alternative venue.
- Where accommodation is limited, prioritise students whose progression will be severely delayed if they do not take their exam or timetabled assessment when planned.
- In the event of an evacuation during an examination please refer to JCQ's Centre emergency evacuation procedure (see appendix 1)
- Communicate with parents, carers and students any changes to the exam or assessment timetable or to the venue.
- Communicate with any external assessors or relevant third parties regarding any changes to the exam or assessment timetable.

## **8. Disruption of teaching time – centre closed for an extended period**

*Criteria for implementation of the plan*

Centre closed or candidates are unable to attend for an extended period during normal teaching or study supported time, interrupting the provision of normal teaching and learning

*Centre actions to mitigate the impact of the disruption*

(Where there is disruption to teaching time and students miss teaching and learning, it remains the responsibility of centres to prepare students, as usual, for examinations. This will be done using TEAMS.

In the case of modular courses, centres may advise candidates to sit examinations in an alternative series.

Centres should have plans in place to facilitate alternative methods of learning.)

- School would communicate with parents and students about potential disruption to teaching time and plans
- Temporary classrooms hired as necessary
- Liaise with Giggleswick to share their accommodation provisions

## **9. Candidates unable to take examinations because of a crisis – centre remains open**

*Criteria for implementation of the plan*

- Candidates are unable to attend the examination centre to take examinations as normal



*Centre actions to mitigate the impact of the disruption*

(This must focus on options that enable candidates to take their examinations)

- School will communicate with exam boards, parents and candidates at outset where applicable.
- Apply to exam boards for special consideration for candidates.

**10. Centre unable to open as normal during the exams period**

(including in the event of the centre being unavailable for examinations owing to an unforeseen emergency)

*Criteria for implementation of the plan*

Centre unable to open as normal for scheduled examinations

*Centre actions to mitigate the impact of the disruption*

- (This must focus on options that enable candidates to take their examinations)
- Seek alternative venues (Giggleswick School)
- Apply to exam boards for special consideration
- Offer candidates opportunity to sit exams missed at next available series, if possible.

**11. Disruption in the distribution of examination papers***Criteria for implementation of the plan*

Disruption to the distribution of examination papers to the centre in advance of examinations

*Centre actions to mitigate the impact of the disruption*

- (awarding organisations to provide centres with electronic access to examination papers via a secure external network. Centres would need to ensure that copies are received, made and stored under secure conditions and should have plans in place to facilitate such an action. Awarding organisations would provide guidance on the conduct of examinations in such circumstances. As a last resort, and in close collaboration with centres and regulators, awarding organisations to consider scheduling of the examination on an alternative date)
- School contacts exam boards to arrange for alternative delivery of papers (fax; email, courier, etc).

**12. Disruption to the transportation of completed examination scripts***Criteria for implementation of the plan*

Delay in normal collection arrangements for completed examination scripts

*Centre actions to mitigate the impact of the disruption*

- (Where examinations are part of the national 'yellow label' service or where awarding organisations arrange collections, centres should seek advice from awarding organisations and should not make their own arrangements for transportation unless told to do so by the awarding organisation. For any examinations where centres make their own arrangements for transportation, centres should investigate alternative dispatch options that comply with the requirements detailed in the JCQ Instructions for Conducting Examinations. Centres to ensure secure storage of completed examination papers until collection.)
- School contacts exam boards to arrange for alternative collection of scripts (courier, etc)

### **13. Assessment evidence is not available to be marked**

#### *Criteria for implementation of the plan*

Large scale damage to or destruction of completed examination scripts /assessment evidence before it can be marked

#### *Centre actions to mitigate the impact of the disruption*

- (awarding organisations to generate candidate marks for affected assessments based on other appropriate evidence of candidate achievement as defined by the awarding organisations where marks cannot be generated by awarding organisations candidates may need to retake affected assessment in a subsequent assessment series.)
- School communicates immediately with exam boards and to students and parents.
- Photographic evidence is provided together with insurance statements if appropriate.
- Candidates retake affected assessment at a subsequent assessment window, if possible.

### **14. Centre unable to distribute results as normal or facilitate post results services**

(including in the event of the centre being unavailable on results day owing to an unforeseen emergency)

#### *Criteria for implementation of the plan*

Centre is unable to access or manage the distribution of results to candidates, or to facilitate post-results services

#### *Centre actions to mitigate the impact of the disruption*

- (Distribution of results: centre to make arrangements to access its results at an alternative site, in agreement with the relevant awarding organisation centres to make arrangements to coordinate access to post results services from an alternative site centres to share facilities with other centres if this is possible, in agreement with the relevant awarding organisation.)

- (Facilitation of post results services: Centre to make arrangements to make post results requests at an alternative location. Centres to contact the relevant awarding organisation if electronic post results requests are not possible)
- Explore using Giggleswick School or Settle Primary to distribute results.
- Seek their support re: post-results service

## **Further guidance to inform procedures and implement contingency planning Ofqual**

What schools and colleges and other centres should do if exams or other assessments are seriously disrupted

### **1. Contingency planning**

You should prepare for possible disruption to exams and other assessments as part of your emergency planning and make sure your staff are aware of these plans.

When drafting contingency plans, you should consider the following guidance...

### **2. Disruption to assessments or exams**

In the absence of any instruction from the relevant awarding organisation, you should make sure that any exam or timetabled assessment takes place if it is possible to hold it. This may mean relocating to alternative premises.

You should discuss alternative arrangements with your awarding organisation if:

- the exam or assessment cannot take place
- a student misses an exam or loses their assessment due to an emergency, or other event, outside of the student's control

### **3. Steps you should take**

#### **3.1 Exam planning**

Review contingency plans well in advance of each exam or assessment series. Consider how, if the contingency plan is invoked, you will comply with the awarding organisation's requirements.

#### **3.2 In the event of disruption**

- Contact the relevant awarding organisation and follow its instructions.
- Take advice, or follow instructions, from relevant local or national agencies in deciding whether your centre is able to open.
- Identify whether the exam or timetabled assessment can be sat at an alternative venue, in agreement with the relevant awarding organisation, ensuring the secure transportation of questions papers or assessment materials to the alternative venue.
- Where accommodation is limited, prioritise students whose progression will be severely delayed if they do not take their exam or timetabled assessment when planned.
- In the event of an evacuation during an examination please refer to JCQ's **'Centre emergency evacuation procedure'**.
- Communicate with parents, carers and students any changes to the exam or assessment timetable or to the venue.
- Communicate with any external assessors or relevant third parties regarding any changes to the exam or assessment timetable.

#### **3.3 After the exam**

- Consider whether any students' ability to take the assessment or demonstrate their level of attainment has been materially affected and, if so, apply for special consideration.

- Advise students, where appropriate, of the opportunities to take their exam or assessment at a later date.
- Ensure that scripts are stored under secure conditions.
- Return scripts to awarding organisations in line with their instructions. Never make alternative arrangements for the transportation of completed exam scripts, unless told to do so by the awarding organisation.

#### **4. Steps the awarding organisation should take**

##### **4.1 Exam planning**

- Establish and maintain, and at all times comply with, an up-to-date, written contingency plan.
- Ensure that the arrangements in place with centres and other third parties enable them to deliver and award qualifications in accordance with their conditions of recognition.

##### **4.2 In the event of disruption**

- Take all reasonable steps to mitigate any adverse effect, in relation to their qualifications, arising from any disruption.
- Provide effective guidance to any of their centres delivering qualifications.
- Ensure that where an assessment must be completed under specified conditions, students complete the assessment under those conditions (other than where any reasonable adjustments or special considerations require alternative conditions).
- Promptly notify the relevant regulators about any event which could have an adverse effect on students, standards or public confidence.
- Coordinate its communications with the relevant regulators where the disruption has an impact on multiple centres or a wide range of learners.

##### **4.3 After the exam**

- Consider any requests for special consideration for affected students. For example, those who may have lost their internally assessed work or whose performance in assessments or exams could have been affected by the disruption.

#### **5. If any students miss an exam or are disadvantaged by the disruption**

If some of the students have been adversely affected by the disruption, you should ask the awarding organisation about applying for special consideration.

Decisions about special consideration, when it is or is not appropriate, is for each awarding organisation to make.

Their decisions might be different for different qualifications and for different subjects.

See also:

- [JCQ's guidance on special considerations](#)
- [FAB's guidance on special considerations](#)

#### **6. Wider communications**

The regulators, [Ofqual](#) in England, [Qualifications Wales](#) in Wales and [CCEA](#) in Northern Ireland, will share timely and accurate information, as required, with awarding organisations, government departments and other stakeholders.

The Department for Education in England, the Department of Education in Northern Ireland and the Welsh Government will inform the relevant government ministers as soon as it becomes apparent that there will be significant local or national disruption; and ensure that they are kept updated until the matter is resolved.

Awarding organisations will alert the Universities and Colleges Admissions Service (UCAS) and the Central Applications Office (CAO) about any impact of the disruption on their deadlines and liaise regarding student progression to further and higher education.

Awarding organisations will alert relevant professional bodies or employer groups if the impact of disruption particularly affects them.

## 7. Widespread national disruption

In the event of widespread sustained national disruption, the government departments will communicate with regulators, awarding organisations and centres prior to a public announcement. Regulators will provide advice to government departments on implications for exam timetables.

[Ofqual guidance extract taken directly from the ***Exam system contingency plan: England, Wales and Northern Ireland - What schools and colleges and other centres should do if exams or other assessments are seriously disrupted***, (updated 23 January 2018)

<https://www.gov.uk/government/publications/exam-system-contingencyplanengland-wales-and-northern-ireland/what-schools-and-colleges-should-do-if-exams-or-other-assessmentsareseriously-disrupted>]

### JCQ

15.1 The qualification regulators, JCQ and government departments responsible for education have prepared and agreed information for schools and colleges in the event of examinations being seriously disrupted. This jointly agreed information will ensure consistency of response in the event of major disruption to the examinations system affecting significant numbers of candidates.

Further information may be found at:

<https://www.gov.uk/government/publications/exam-system-contingencyplanengland-wales-and-northern-ireland/what-schools-and-colleges-should-do-if-exams-or-other-assessmentsareseriously-disrupted>

15.2 In addition, awarding bodies have their own well-established contingency plans in place to respond to disruptions. It is important that exams officers who are facing disruption liaise directly with the relevant awarding body/bodies.

15.3 Centres should prepare plans for any disruption to examinations as part of their general emergency planning. It is important to ensure that relevant centre staff are familiar with the plan. Consideration should be given as to how these arrangements will be communicated to candidates, parents and staff should disruption to examinations occur.

15.4 In the event that the head of centre decides the centre cannot be opened for scheduled examinations, the relevant awarding body must be informed as soon as possible. Awarding bodies will be able to offer advice regarding the alternative arrangements for conducting examinations that may be available and the options for candidates who have not been able to take scheduled examinations.

15.5 The awarding bodies have designated Wednesday 29 June 2022 as a 'contingency day' for examinations. This is consistent with the qualification regulators' document Exam system contingency plan: England, Wales and Northern Ireland - <https://www.gov.uk/government/publications/examsystem-contingency-plan-england-wales-andnorthernireland>

The designation of a 'contingency day' within the common examination timetable is in the event of national or local disruption to examinations. It is part of the awarding bodies' standard contingency planning for examinations.

In the highly unlikely event that there is national disruption to a day of examinations in summer 2022, the awarding bodies will liaise with the qualification regulators and the DfE to agree the most appropriate option for managing the impact. As a last resort the affected examinations will be rescheduled. Although every effort would be taken to keep the impact to a minimum, it is possible that there could be more than one timetable date affected following the disruption, up to and including the contingency day on 29 June 2022. Centres will be alerted if it was agreed to reschedule the examinations and the affected candidates will be expected to make themselves available in such circumstances. The decision regarding the re-scheduling of examinations will always rest with the awarding body. The centre must conduct the examination on the scheduled date unless instructed to do otherwise by the awarding body.

Where candidates choose not to be available for the rescheduled examination(s) for reasons other than those traditionally covered by special consideration, they will not be eligible for enhanced grading arrangements. Centres must therefore ensure candidates and parents are aware of this contingency arrangement so that they may take it into account when making their plans for the summer. However, the awarding bodies will not insist upon candidates being available throughout the entire timetable period as a matter of course.

[JCQ guidance taken directly from JCQ *Instructions for Conducting Examinations*

[Preparing for disruption to examinations - JCQ Joint Council for Qualifications](#)

*JCQ Joint Contingency Plan* <http://www.jcq.org.uk/exams-office/other-documents>

*General Regulations for Approved Centres* <http://www.jcq.org.uk/exams-office/general-regulations>

*Guidance notes on alternative site arrangements* <http://www.jcq.org.uk/exams-office/online-forms>

*Guidance notes concerning transferred candidates*

<http://www.jcq.org.uk/exams-office/online-forms>

*Instructions for Conducting Examinations* <http://www.jcq.org.uk/exams-office/ice---instructions-forconductingexaminations>

*A guide to the special consideration process* <http://www.jcq.org.uk/exams-office/access-arrangements-andspecialconsideration/regulations-and-guidance>

## **GOV.UK**

*Emergency planning and response: Severe weather; Exam disruption*

<https://www.gov.uk/guidance/emergenciesandsevere-weather-schools-and-early-years-settings>

*Teaching time lost due to severe weather conditions*

<https://www.gov.uk/government/publications/teaching-timelostdue-to-severe-weather-conditions>

*Dispatch of exam scripts guide: Ensuring the service runs smoothly;*  
*Contingency planning* <https://www.gov.uk/government/publications/dispatch-of-exam-scripts-yellow-label-service>  
*Statutory guidance on school closures*  
<https://www.gov.uk/government/publications/school-organisationmaintainedschools>



## Appendix 1: Emergency Evacuation Procedure

### SETTLE COLLEGE

#### Emergency evacuation procedure for examinations

When dealing with emergencies you **must** be aware of any instructions from relevant local or national agencies.

In an emergency such as a fire alarm or a bomb alert, the invigilator **must** take the following action:

- stop the candidates from writing;
- collect the attendance register (to ensure all candidates are present) and evacuate the examination room in line with the instructions given by the appropriate authority;
- advise candidates to leave all question papers and scripts in the examination room. Candidates **must** be advised to close their answer booklet;
- ensure the candidates leave the room in silence;
- ensure candidates are supervised as closely as possible while they are out of the examination room so that there is no discussion about the examination;
- make a note of the time of the interruption and how long it lasted;
- allow the candidates the remainder of the working time set for the examination once it resumes;
- if there are only a few candidates, consider the possibility of taking the candidates (with question papers and scripts) to another place to finish the examination;

A full report of the incident **must** be produced and retained on file if required by an awarding body.

Any breach of question paper security or malpractice **must** be reported to the awarding body **immediately**.

An online application for special consideration **must** be submitted to the relevant awarding body where candidates have been disadvantaged.